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PATENT

Attorney Docket No.: NUAN-00700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hy Murveit *et al.*

Serial No.: 09/294,847

Filed: April 20, 1999

For: **ADAPTIVE MULTI-PASS SPEECH  
RECOGNITION SYSTEM**

) Group Art Unit: 2741

) Examiner:

) **TRANSMITTAL LETTER**

) 260 Sheridan Avenue, Suite 420  
) Palo Alto, California 94306  
) (650) 833-0160

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Technology Center 2600

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, Form PTO-1449, including reference contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit any overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 10-4-01

By: Thomas B. Haverstock

Thomas B. Haverstock

Reg. No.: 32,571

Attorney for Applicants

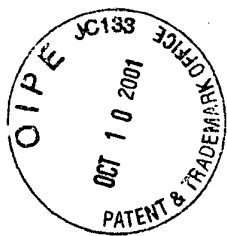
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HAVERSTOCK & OWENS LLP

Date: 10-5-01 By: John D. Pearson



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) Examiner:

) **SUPPLEMENTAL INFORMATION**  
) **DISCLOSURE STATEMENT**

) 260 Sheridan Avenue, Suite 420  
) Palo Alto, California 94306  
) (650)833-0160

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 5,568,540;
- U.S. Patent No. 5,651,054;
- U.S. Patent No. 5,742,905; and
- U.S. Patent No. 5,848,130.

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This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 10-4-01

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 35,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a));

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HAVERSTOCK & OWENS LLP.

Date: 10-5-01 By: John D. Knowlton